

**Haverük fotela vs. haveruk fotele.  
Miért hiányoznak egyes birtokos toldalékváltozatok?**

Rebrus Péter  
Törkenczy Miklós  
Szigetvári Péter

MTA NYTI  
2017. 03. 28.

## (1) Variation in the Possessive

### a. Singular possessee

possessor	pa:r 'pair'	ka:r 'damage'	tor 'wake'
3sg	pa:r <b>ja</b>	ka:r <b>a</b>	tor <b>a</b> % tor <b>ja</b>
3pl	pa:r <b>juk</b>	ka:r <b>ruk</b>	tor <b>uk</b> % tor <b>juk</b>

### b. Plural possessee

possessor	pa:r 'pair'	ka:r 'damage'	tor 'wake'
1sg	pa:r <b>jaim</b>	ka:r <b>aimsg</b>	tor <b>aim</b> % tor <b>jaim</b>
2sg	pa:r <b>jaid</b>	ka:r <b>aid</b>	tor <b>aid</b> % tor <b>jaid</b>
3sg	pa:r <b>jai</b>	ka:r <b>ai</b>	tor <b>ai</b> % tor <b>jai</b>
1pl	pa:r <b>jaink</b>	ka:r <b>aink</b>	tor <b>aink</b> % tor <b>jaink</b>
2pl	pa:r <b>jaitek</b>	ka:r <b>aitok</b>	tor <b>aitok</b> % tor <b>jaitek</b>
3pl	pa:r <b>jaik</b>	ka:r <b>aik</b>	tor <b>aik</b> % tor <b>jaik</b>

(2) The phonological conditioning of possessive Y-allomorphs

	stem-final segment(s)	behaviour	examples (3SG.POSS)
a.	V	yodful	*kapu-a, kapu- <b>ja</b> 'gate'
b.	C <sub>[palatal]</sub>	yodless	la:ɲ- <b>a</b> , *la:ɲ-ja 'daughter'
c.	C <sub>[sibilant]</sub>	yodless	koʃ- <b>a</b> , *koʃ-ja 'ram'
d.	VC <sub>[nonpalatal, nonsibilant]</sub>	variation	*pa:r-a, pa:r- <b>ja</b> 'pair' ka:r- <b>a</b> , *ka:r-ja 'damage' tor- <b>a</b> , tor- <b>ja</b> 'wake'
e.	CC <sub>[nonpalatal, nonsibilant]</sub>	mainly yodful	*domb-a, domb- <b>ja</b> 'hill'

(5) Uniformity of the suffix-initial vowel in the paradigms of back and front unrounded stems

backness & height of the suffix-initial vowel:		<b>a. back &amp; mid</b> (back non-lowering stems)	<b>b. back &amp; low</b> (back lowering stems)	<b>c. front &amp; low</b> (front unrounded stems)
Non-possessive	Plural	kar- <u>ok</u> , tor- <u>ok</u>	fal- <u>ak</u>	pɛr- <u>ɛk</u>
	Adjz	kar- <u>oʃ</u> , tor- <u>oʃ</u>	fal- <u>aʃ</u>	pɛr- <u>ɛʃ</u>
	Verbz	kar- <u>ol</u> , tor- <u>ol</u>	fal- <u>az</u>	pɛr- <u>ɛl</u>
Possessive	1sg	kar- <u>om</u> , tor- <u>om</u>	fal- <u>am</u>	pɛr- <u>ɛm</u>
	2sg	kar- <u>od</u> , tor- <u>od</u>	fal- <u>ad</u>	pɛr- <u>ɛd</u>
	3sg	kar- <u>ja</u>   kar- <u>a</u> tor- <u>ja</u> % tor- <u>a</u>	fal- <u>a</u>	pɛr- <u>ɛ</u>
uniformity with 3sg		<b>no / no</b>	<b>yes</b>	<b>yes</b>
		'arm   choir' 'wake'	'wall'	'trial'

(3) Paradigm Uniformity in Suffix Vowel (PU-V)<sup>11</sup>

Suffix-initial vowels agree in quality within the paradigm of a stem.

(4) Analogical Support of Suffix Vowel (AS-V)

Given a choice of suffix allomorphs, prefer the one(s) that result in PU-V.

(6) Morph-Syllable Alignment (M- $\sigma$  Align)

In a suffixed “novel” stem, align the right edge of the stem with a syllable boundary.

(7) Analogical Support of Suffix Consonant (AS-C)

Given a choice of suffix allomorphs, prefer the one(s) that result in M- $\sigma$  Align.

(8) Variation in the harmonic behaviour of Bε stems

		<b>a. back preference</b>	<b>b. front preference</b>	<b>c. no preference</b> (vacillation)
C-initial suffix	DAT	matek- <u>ng</u> k ?matek- <u>ne</u> k	?*kontɛrt- <u>ng</u> k kontɛrt- <u>ne</u> k	fotel- <u>ng</u> k fotel- <u>ne</u> k
	SUBL	matek- <u>ra</u> ?matek- <u>re</u>	?*kontɛrt- <u>ra</u> kontɛrt- <u>re</u>	fotel- <u>ra</u> fotel- <u>re</u>
V-initial suffix	PLUR	matek- <u>ok</u> *matek- <u>ek</u>	?*kontɛrt- <u>ok</u> kontɛrt- <u>ek</u>	fotel- <u>ok</u> fotel- <u>ek</u>
	1SG. POSS	matek- <u>om</u> *matek- <u>em</u>	?*kontɛrt- <u>om</u> kontɛrt- <u>em</u>	fotel- <u>om</u> fotel- <u>em</u>
		'maths'	'concert'	'armchair'

(9) Harmonic Consistency in Affix (HC-Affix)

All the harmonic suffixes have identical harmonic values (F, B or F/B) within the paradigm of a stem.

(10) Analogical Support: Harmonic Value (AS-H)

Given a choice of harmonic suffix allomorphs, prefer the one(s) that result in HC-Affix.

# Paradigmatic constraints on analogical support

AS-V and AS-H

***intraparadigmatic*** uniformity constraints, which compare some property of the forms of a subparadigm candidate with that of other forms of the paradigm of a specific stem

AS-C

***interparadigmatic*** constraint which compares forms of the candidate subparadigm of a given stem with forms of the corresponding subparadigms of other stems that belong to the same stem class

(12) Variation in yodfulness and variation in harmony are orthogonal

		Yodfulness	
		out of ZV (Yless)	in ZV
Harmony	out of ZV (back)	koʃ- <u>uk</u>	tor- <u>ju</u> k % tor- <u>u</u> k
	in ZV	notɛs- <u>y</u> k % notɛs- <u>u</u> k	hotɛl- <u>ju</u> k % hotɛl- <u>y</u> k % hotɛl- <u>ju</u> k % hotɛl- <u>u</u> k

(15) Variation in yodfulness and variation in harmony in 3SG.POSS are *not* orthogonal

		Yodfulness	
		out of ZV (Yless)	in ZV
Harmony	out of ZV (back)	koʃ- <u>ɑ</u>	tor- <u>ja</u> % tor- <u>ɑ</u>
	in ZV	notɛs- <u>ɛ</u> % notɛs- <u>ɑ</u>	hotɛl- <u>jɛ</u> % hotɛl- <u>ja</u> % hotɛl- <u>ɛ</u> / *hotɛl- <u>ɑ</u>



- A. Trivially, there is no variation and only one form (and suffix alternant) is possible if a given stem is outside the zone of variation in both dimensions (e.g. 3SG.POSS: **koʃ-a**, \***koʃ-ja**, \***koʃ-je**, \***koʃ-e** ; 3PL.POSS: **koʃ-uk**, \***koʃ-juk**, \***koʃ-jyk**, \***koʃ-yk**).
- B. Two forms (and suffix alternants) are predicted to vacillate when a given stem is within the zone of variation in one dimension and outside the zone of variation in the other. There may be variation in yodfulness, but not in harmony (e.g. 3SG.POSS: **tor-ja**, **tor-a**, \***tor-je**, \***tor-e**; 3PL.POSS: **tor-juk**, **tor-uk**, \***tor-jyk**, \***tor-yk**) or variation in harmony but not in yodfulness (e.g. 3SG.POSS: \***notɛs-ja**, \***notɛs-je**, **notɛs-a**, **notɛs-e**; 3PL.POSS: \***notɛs-juk**, \***notɛs-jyk**, **notɛs-uk**, **notɛs-yk**).
- C. Four forms (and suffix alternants) are predicted to occur in vacillation when the stem is within the zone of variation in both dimensions: yodful and yodless back and front alternants of Y-suffixes are expected to occur with the relevant stems. As opposed to (A) and (B) above there is an interesting asymmetry here between the 3pl POSS (whose suffix vowel is high **u~y** and the other Y-suffixes (whose suffix vowel is low **a~e**). The prediction is borne out for the 3pl POSS as

#### (18) Questions

- i. With a stem that is variable in both dimensions why do we *not* find four alternative forms when the suffix vowel is low and why is it the **-a** (i.e. the yodless back) form that is missing? (cf. (11))
- ii. Why is the **-a** form *not* missing when there are no yodful forms? (cf. 12)
- iii. When a stem is variable in both dimensions why do forms behave differently when the suffix vowel is **u~y** vs. when it is **a~e**? ((10) vs. (11))

(19) Prototypical stem classes and their properties in their 3rd possessive subparadigms

e.g.(variants: 3SG-3PL)	hammony	sib./pal.#	lowering	novel	familiar	3SG.POSS <je e ja a>	3PL.POSS <jük ük juk uk>
a. tor	B	-	-	-	-	0 0 1 1	0 0 1 1
b. koʃ	B	+	-	-	-	0 0 0 1	0 0 0 1
c. fal/haʃ	B	-/+	+	-	-	0 0 0 1	0 0 0 1
d. pɛr/ke:ʃ	F	-/+	X	-	-	0 1 0 0	0 1 0 0
e. blog (1-2)	B	-	-	+	-	0 0 1 0	0 0 1 1
f. ko:tʃ	B	+	-	+	-	0 0 0 1	0 0 0 1
g. tɛg (2-2)	F	-	X	+	-	1 1 0 0	1 1 0 0
h. bæɕ:	F	+	X	+	-	0 1 0 0	0 1 0 0
i. fotɛl (3-4)	F/B	-	-	+	-	1 1 1 0	1 1 1 1
j. notɛs (2-2)	F/B	+	-	+	-	0 1 0 1	0 1 0 1
k. havɛr (2-3)	F/B	-	-	+	+	1 0 1 0	1 0 1 1
l. kolɛs (2-2)	F/B	+	-	+	+	0 1 0 1	0 1 0 1
constraints	<b>AS-H</b>	<b>*Sib+j</b>	<b>AS-V</b>	<b>AS-C</b>	<b>AS-V</b>		

(20) Relative frequencies of possessive variants (Google search)

e. 3PL. this stem:	*blog-jyk 0%	*blog-yk 0%	blog-juk <b>92.1%</b>	blog-uk 7.9%
g. 3SG. this stem:	teɣ-jɛ <b>91.5%</b>	teɣ-ɛ 8.5%	*teɣ-ja 0%	*teɣ-a 0%
i1. 3SG. this type:	foteɪ-jɛ 4.2%	foteɪ-ɛ <b>93.9%</b>	foteɪ-ja 1.8%	*foteɪ-a 0.004%
i2. 3PL. this type:	foteɪ-jyk 23.6%	foteɪ-yk <b>71.3%</b>	foteɪ-juk 4.7%	foteɪ-uk 0.4%
j. 3SG. this stem:	*notɛs-jɛ 0.008%	notɛs-ɛ <b>89.3%</b>	*notɛs-ja 0.008%	notɛs-a 10.7%
k1. 3SG. this type:	haver-jɛ 0.5%	*haver-ɛ 0.012%	haver-ja <b>99.5%</b>	*haver-a 0.004%
k2. 3PL. this type:	haver-jyk 0.9%	*haver-yk 0.044%	haver-juk <b>98.8%</b>	haver-uk 0.2%
l. 3PL. this stem:	*kolɛs-jyk 0%	kolɛs-yk 4.9%	*kolɛs-juk 0%	kolɛs-uk <b>95.1%</b>

# Analysis

Like "classical" OT (Prince & Smolensky 1993/2004)

- competing candidates
- ranked set of constraints

Unlike "classical" OT

- candidates
  - not an infinite number by Gen (Archangeli and Pulleyblank 2015)
  - (sub)paradigms, not individual form
  - the logically possible (sub)paradigms of forms resulting from the combination of one, more than one, all or none of the available affix allomorphs with the relevant stem (4 forms:  $2^4=16$  subparadigm)
- constraints
  - not part of UG but language-specific generalisations over (sets of) surface forms
  - evaluate each member of the candidate paradigm and the violations are added up (McCarthy 2005)
  - strict interpretation: a candidate paradigm is penalised by a constraint Z (and Z is violated) if the candidate paradigm
    - i. contains a form that is not facilitated by Z or
    - ii. does not contain a form that is facilitated by Z

(21a) 3SG.POSS subparadigm of non-sibilant/palatal-final **Bε** stems

<b>fotel</b> + {jε, ε, ja, a}		<b>AS-H</b> ( 1 1 )	<b>AS-C &amp; AS-V</b> (1010)+(0100)=(1110)
☞fotel-jε, -ε, -ja	(1110)		
fotel-jε, -ε, -ja, -a	(1111)		* (1111)
fotel-jε, -ja	(1010)		* (1010)
fotel-ε, -ja	(0110)		* (0110)
fotel-jε, -ε, -a	(1101)		** (1101)
fotel-jε, -ja, -a	(1011)		** (1011)
fotel-ε, -ja, -a	(0111)		** (0111)
fotel-jε, -a	(1001)		*** (1001)
fotel-ε, -a	(0101)		*** (0101)
fotel-jε, -ε	(1100)	* (1100)	* (1100)
fotel-jε	(1000)	* (1000)	** (1000)
fotel-ε	(0100)	* (0100)	** (0100)
fotel-ja	(0010)	* (0010)	** (0010)
fotel-ja, -a	(0011)	* (0011)	*** (0011)
fotel-a	(0011)	* (0001)	**** (0001)
(no form)	(0000)	** (0000)	*** (0000)

b. 3PL.POSS of non-sibilant/palatal-final **Bε** stems

<b>fotεl</b> + {jyk,yk,juk,uk}	<b>AS-H</b> ( 1 1 )	<b>AS-C &amp; AS-V</b> (1010)+(0101)=(1111)
☞ fotεl-jyk, -yk, -juk, -uk (1111)		
fotεl-jyk, -yk, -juk (1110)		* (1110)
fotεl-jyk,-yk,-uk (1110)		* (1101)
fotεl-jyk, -juk, -uk (1011)		* (1011)
fotεl-yk, -juk, -uk (0111)		* (0111)
fotεl-yk, -uk (0101)		** (0101)
fotεl-jyk,-uk (1001)		** (1001)
fotεl-yk,-juk (0110)		** (0110)
fotεl-jyk,-juk (1010)		** (1010)

c. 3SG/PL.POSS of sibilant/palatal-final **Bε** stems

<b>notεs</b> + {jε,ε,ja,a}	<b>AS-H</b> ( 1 1 )	<b>*Sib+j</b> (0.0.)	<b>AS-C &amp; AS-V</b> (1010)+(0100)=(1110)
☞ notεs-ε, -a (0101)			*** (0101)
notεs-ε, -ja (0110)		* (0110)	* (0110)
notεs-jε, -a (1001)		* (1001)	*** (1001)
notεs-jε, -ε, -ja (1110)		** (1110)	
notεs-jε,-ε,-ja,-a (1111)		** (1111)	* (1111)
notεs-ε (0100)	* (0100)		** (0100)
notεs-a (0001)	* (0001)		**** (0111)

d. 3SG.POSS of non-sibilant/palatal-final familiar **Bε** stems

<b>haver</b> + {jε,ε,ja,a}	<b>AS-H</b> ( 1 1 )	<b>AS-C &amp; AS-V</b> (1010)+(0000)=(1010)
☞haver-jε, -ja (1010)		
haver-jε, -ε, -ja (1110)		* (1110)
haver-jε, -ja, -a (1011)		* (1011)
haver-jε, -ε, -ja, -a (1111)		** (1111)
haver-ε, -a (0101)		**** (0101)
haver-ja (0010)	* (0010)	* (0010)
haver-jε (1000)	* (1000)	* (1000)
haver-jε, -ε (1100)	* (1100)	** (1100)

e. 3PL.POSS of non-sibilant/palatal-final familiar **Bε** stems

<b>haver</b> + {jyk,yk,juk,uk}	<b>AS-H</b> ( 1 1 )	<b>AS-C &amp; AS-V</b> (1010)+(0001)=(1011)
☞haver-jyk, -juk, -uk (1011)		
haver-jyk, -juk (1010)		* (1010)
haver-jyk,-yk,-juk,-uk (1111)		* (1111)
haver-jyk, -uk (1001)		* (1001)
haver-yk, -uk (0101)		*** (0101)
haver-juk, -uk (0011)	* (0011)	* (0011)

f. 3SG.POSS of sibilant/palatal-final familiar **Be** stems

<b>kolēs + {jε,ε,ja,a}</b>	<b>AS-H</b> ( 1 1 )	<b>*Sib+j</b> (0.0.)	<b>AS-C &amp; AS-V</b> (1010)+(0000)=(1010)
☞ kolēs-ε, -a (0101)			**** (0101)
kolēs-jε, -ja (1010)		** (1010)	
kolēs-jε, -ε, -a (1101)		* (1101)	* (1101)
kolēs-jε, -ε, -ja (1110)		** (1110)	* (1110)
kolēs-jε, -ε, -ja, -a (1111)		** (1111)	** (1111)
kolēs-ε (0100)	* (0100)		*** (0100)